

Board of Directors Meeting: Thursday 3 September 2009

BD2009.80

Subject	Compliance with Core Standards 2009/2010			
Purpose of paper	<p>To provide a situation report on current compliance with core standards and to highlight areas of concern, particularly in relation to compliance reviews and assessment of supporting evidence.</p> <p>The Care Quality Commission (CQC) will seek a declaration on compliance for the first seven months of the year (to 31 October) in November 2009.</p> <p>All Board and sub-Board leads have been asked to develop their evidence in support of assurance on compliance. This will be made available for review by the Board as part of the declaration process in November 2009. The declaration will be made public as in previous years</p> <p>Standards C4a: infection control, C4c: decontamination, and the cleanliness element of C21 are not being assessed this year because of the registration with CQC effective from 1 April 2009.</p>			
Board Lead	Mrs Elaine Strachan-Hall, Director of Nursing and Clinical Leadership			
Background papers (if any)	-			
Action/decision required	To consider the report on compliance, and to approve any further actions required to provide assurance to the Board for the declaration process			
Key purpose	Strategy	<u>Assurance</u>	Policy	Performance
Strategic Goals	All			
Strategic Objectives	All			
Links to Board Assurance Framework/ Trust Risk Register/Annual Health Check element(s)/CQC Registration	Compliance with all core standards supports the Trust's strategic objectives, and mitigates against risks to them. CQC will expect all NHS Trust to remain compliant with core standards throughout 2009/10			
Also considered by	Care Quality Board			

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Resource and financial impact	–
Consideration of legal/equality/diversity/engagement/risk issues	Included within individual standards
Acronyms and abbreviations used	C: compliant C-R: compliance under review CS: core standard NC: non compliance WR: work required and in progress
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CS	Key focus of standard	Board of Directors Lead	Sub-Board lead	NC	WR	C-R	C
C1a	Patient safety & incidents; learning & analysis	Director of Nursing & Clinical Leadership	Directors of Operations Associate Director of Safety, Quality and Risk	Compliant			
C1b	Acting on patient safety notices etc - SABs	Director of Nursing & Clinical Leadership	Directors of Operations Associate Director of Safety, Quality and Risk	Compliant			
C2	Child protection & safeguarding	Director of Nursing & Clinical Leadership	Director of Operations C Lead Nurse, Child Protection	Compliant			
C3	NICE interventional procedures	Medical Director & Director of Nursing & Clinical Leadership	Directors of Operations Associate Director of Safety, Quality and Risk	Compliant			
C4a	Infection control systems and processes (link to Hygiene Code)	Medical Director (DIPC) to lead across all areas	Infection Control Doctor and Manager	Not assessed because of registration with CQC			
C4b	Medical Devices acquisition & use	Medical Director	Director of Medical Physics and Clinical Engineering	Compliant - to be included in CEAC declaration review			
C4c	Decontamination (link to Hygiene Code)	Medical Director	Director of Estates & Facilities Director of Operation B	Not assessed because of registration with CQC			
C4d	Medicines management	Medical Director/Director of Nursing & Clinical Leadership	Clinical Director, Medicines Management	Compliant			
C4e	Waste management	Director of Finance	Director of Estates & Facilities	Compliant			

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CS	Key focus of standard	Board of Directors Lead	Sub-Board lead	NC	WR	C-R	C
C5a	NICE technology appraisals	Medical Director/Director of Nursing & Clinical Leadership	Directors of Operations Associate Director of Safety, Quality and Risk	Compliant			
C5b	Supervision & leadership of clinical care & treatment	Medical Director/Director of Nursing & Clinical Leadership	Directors of Operations Divisional Chairs	Work required and in progress (ref clinical supervision in clinical oncology)			
C5c	Continuous updating of skills for clinicians	Medical Director/Director of Nursing & Clinical Leadership	Directors of Operations Divisional Chairs	Compliant			
C5d	Clinical audit & clinical reviews	Medical Director	Directors of Operations and Divisional Chairs Associate Director of Safety, Quality and Risk	Compliant			
C6	Whole system working for individual patient needs	Chief Operating Officer	Director of Operations A	Compliant			
C7a & 7c	Governance & risk	Director of Nursing & Clinical Leadership	Associate Director of Governance Associate Director of Safety, Quality and Risk	Compliant			
C7b	Probity & Honesty	Director of Nursing & Clinical Leadership	HR Business Manager Associate Director of Governance	Compliant			
C7e	Human rights, diversity, equality	Director of Nursing and Clinical Leadership Director of Human Resources & Organisational Development	HR Business Manager	Compliant			

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CS	Key focus of standard	Board of Directors Lead	Sub-Board lead	NC	WR	C-R	C
C8a	Whistle blowing	Director of Human Resources & Organisational Development	HR Business Manager	Compliant			
C8b	Organisational development & minority groups	Director of Human Resources & Organisational Development	HR Business Managers	Compliant			
C9	Records management (& training)	Director of Nursing & Clinical Leadership Director of Finance	Head of Health Records Information Governance Manager	Compliant			
C10a & b	Employment checks & professional codes of practice	Director of Human Resources & Organisational Development	HR Business Managers	Compliant			
C11 a	Appropriate recruitment, training & qualifications	Director of Human Resources & Organisational Development	HR Business Managers	Compliant			
C11b	Mandatory training	Director of Nursing and Clinical Leadership	Training and Development Manager	C-R - report being prepared on mandatory training and take up			
C11c	Professional & occupational development	Director of Nursing and Clinical Leadership	Training and Development Manager	C-R			
C12	Research governance	Medical Director	Head of Research	Compliant			
C13 a	Privacy & Dignity	Director of Nursing & Clinical Leadership	Deputy Director of Nursing	C-R - work continuing to complete building works in support of same sex accommodation - communications to be implemented - to be included in CEAC declaration review			
C13b	Consent & use of information	Director of Nursing & Clinical Leadership	Information Governance Manager	Compliant			

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CS	Key focus of standard	Board of Directors Lead	Sub-Board lead	NC	WR	C-R	C
C13c	Confidentiality of Information	Director of Nursing & Clinical Leadership	Information Governance Manager	Compliant			
C14a, b, c	Information re complaints & proper processes & learning	Director of Nursing & Clinical Leadership	Deputy Director of Nursing	Compliant			
C15 a, b	Food choice & 24 hours	Director of Nursing and Clinical Leadership Director of Finance	Director of Estates & Facilities Associate Directors of Nursing	Compliant			
C16	Patient & corporate information	Director of Nursing & Clinical Leadership	Director of Communications	Compliant			
C17	View of patients & carers, designing, delivering, planning & improving healthcare	Director of Nursing & Clinical Leadership	Patient and Public Engagement lead Directors of Operations	Compliant			
C18	Equality of Access	Chief Operating Officer	Directors of Operations	Compliant			
C20a	Safe & secure environment	Director of Finance	Director of Estates & Facilities	Compliant			
C20b	Care environment privacy & confidentiality	Director of Nursing & Clinical Leadership	Director of Estates & Facilities Associate Directors of Nursing	Compliant			
C21	Environment, maintenance & cleaning	Director of Finance	Director of Estates & Facilities	Compliant - environment and maintenance			
				Cleaning - not assessed because of registration with CQC			
C22 a, b	Health promotion in local	Medical Director	Patient and Public Engagement lead	Compliant			

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CS	Key focus of standard	Board of Directors Lead	Sub-Board lead	NC	WR	C-R	C
& c	community						
C23	Health promotion to meet NSFs etc on obesity, smoking, substance misuse etc	Medical Director	Patient and Public Engagement lead	Compliant			
C24	Emergency planning	Chief Operating Officer	Director of Operations (A)	Compliant			